IUID & Supplier Flow-down - Generic Lessons Learned -

NDIA UID Industry Leadership Advisory Group

Dated: Sept 16, 2005

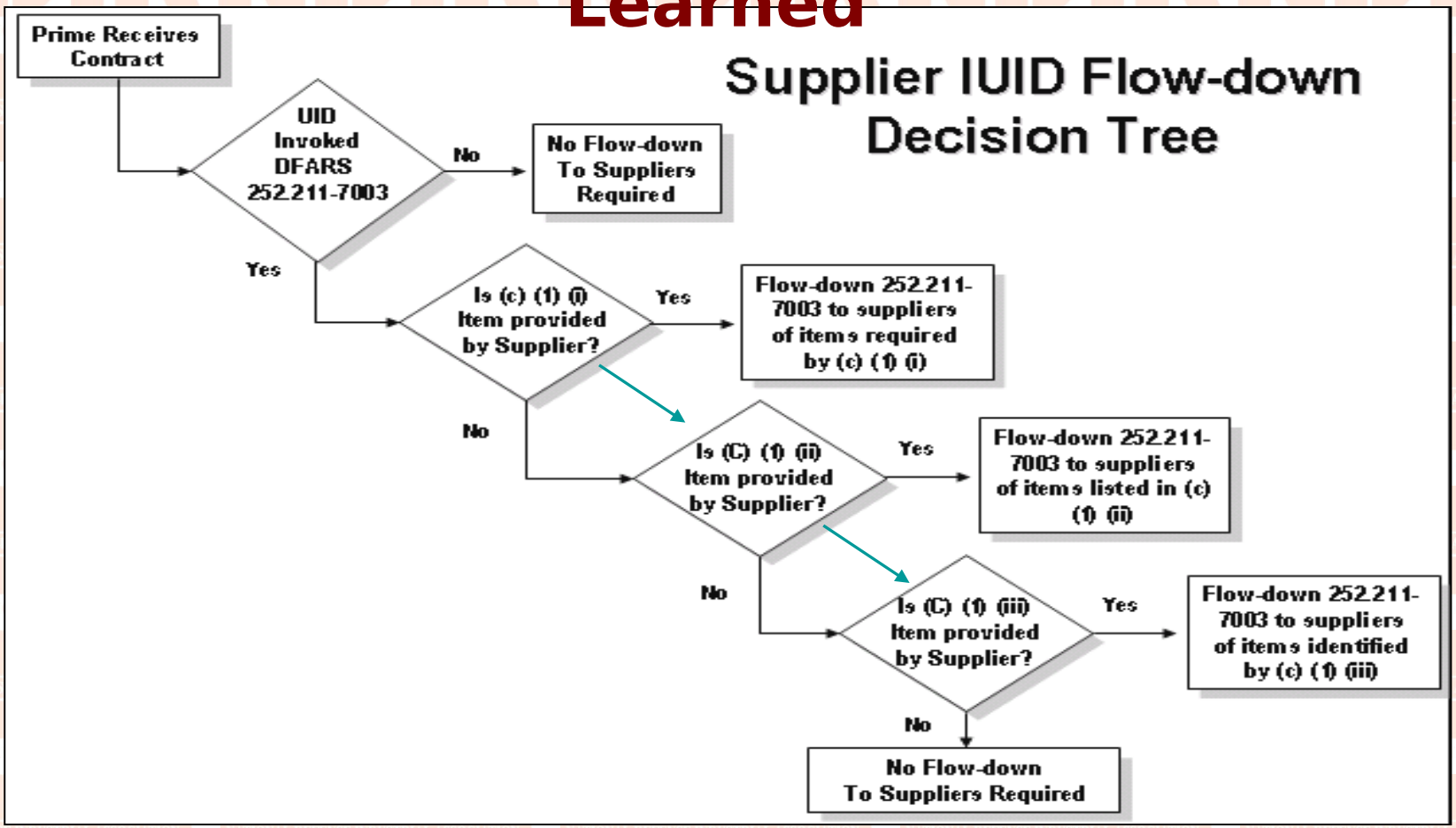
Supplier Flow-down – Generic Lessons Learned

- ✓ If the items listed in the Prime's contract Clause requires IUID, it is the Prime contractor's responsibility to appropriately flow-down the IUID requirements to its sub-contractors
- ✓ Generic Lessons Learned can be utilized for all supplier flow-down – Prime to Sub; Sub to Sub-tier, etc. as required

Supplier Flow-down – Generic Lessons Learned

- ✓ **The attached "*Supplier IUID Flow-down Decision Tree*" may assist individuals in the UID flow-down decision making process**
- ✓ **As required, flow-down "The Clause" and identify to the supplier the specific parts the supplier is required to IUID**
  - **Specify if Virtual IUID is acceptable (legacy, etc.)**
  - **Specify what MIL-STD-130 version is required**
  - **Specify the associated data elements and validation the Prime contractor wants to ensure the supplier (regardless of supplier tier) provides to the Prime to support final delivery of items (ie: Certificate of Conformance - type of supporting documentation which would indicate acceptable mark quality, compliance to the identified MIL-STD-130 version, etc.)**
  - **Provide suppliers links to OSD's UID website – ie: 101 UID Basics, Engineering Guidance, DAU's website, etc.**
    - **Links to training opportunities, GEIA joint collaboration marking effort, supplier guidance, etc.**

Supplier Flow-down – Generic Lessons Learned


Supplier IUID Flow-down Decision Tree
Prime Receives Contract
UID Invoked DFARS 252.211-7003
No
No Flow-down To Suppliers Required
Yes
Is (c) (1) (i) Item provided by Supplier?
Yes
Flow-down 252.211-7003 to suppliers of items required by (c) (1) (i)
No
Is (C) (1) (ii) Item provided by Supplier?
Yes
Flow-down 252.211-7003 to suppliers of items listed in (c) (1) (ii)
No
Is (C) (1) (iii) Item provided by Supplier?
Yes
Flow-down 252.211-7003 to suppliers of items identified by (c) (1) (iii)
No
No Flow-down To Suppliers Required

Supplier Flow-down – Generic Lessons Learned

A Suggested Approach…Flow-down "This portion of the Clause!"

DFARS 252.211-7003

(c) DoD unique item identification or DoD recognized unique identification equivalents.

(1) The Contractor shall provide DoD unique item identification, or a DoD recognized unique identification equivalent, for

(i) All delivered items for which the Government's unit acquisition cost is $5,000 or more; and
List identified items requiring IUID (supplier flow-down):
_____

(ii) The following items for which the Government's unit acquisition cost is less than $5,000:
Contract Line, Subline, or Exhibit Line Item Number Item Description
List identified items requiring IUID (supplier flow-down):
_____

(iii) Subassemblies, components, and parts embedded within delivered items as specified in Attachmer
List identified items requiring IUID (supplier flow-down):
_____

Be Specific!

List What Your Suppliers Are Required To IUID In The Clause!

Ensure you clearly specify in your SOW/SDRL the associated data elements the supplier (regardless of supplier tier) needs to provide the Prime to support final delivery of items. You do not want them to get confused that they might be required to provide all required data elements.

Supplier Flow-down – Generic Lessons Learned

- ✓ **Keep supplier flow-down SIMPLE so your supplier has the opportunity to utilize the same internal process they use/would use for other Primes or suppliers requiring IUID**
- ✓ **It is the Prime Contractor's responsibility to ensure the required IUID data is flowed to the DoD IUID Registry. In the case of a drop-ship of parts from a sub-contractor directly to the government, the sub-contractor may be required to pass along associated data elements or update DoD's IUID Registry. The sub-contractor may not have access to the complete range of data that is required for IUID, such as the Prime Contractor's acquisition pricing. There are currently 2 solutions that are being considered:**
  - **The sub-contractor provides the Prime contractor with the DD250 data. The Prime enters the missing information and submits the DD250.**
  - **The sub-contractor submits the DD250 under extension, the Prime adds pricing subsequently. WAWF needs to be modified to allow the ability to mask price from extension, permission issue.**

Supplier Flow-down – Generic Lessons Learned

- ✓ **Some suppliers are not responding to a UID RFP because *"…we are still waiting for DCMA to review/approve our negotiated plans"***
  - ◇ **Not acceptable….**
    - **DCMA negotiates implementation plans, SPIs, MOUs with its Primes**
    - **A sub-contractor's DoD DCMA negotiated implementation plan, advance agreement, MOU, etc….is not a DoD approved plan between the sub-contractor and its Prime**
  - ◇ **Prime contractors who are pursuing DCMA negotiated plans, SPIs, MOUs, etc. should include their sub-contractors in its development**
  - ◇ **Sub-contractors who are developing implementation plans, etc. should include their Primes in its development**
- ✓ **DCMA negotiated IUID plans, SPIs, Block Changes, MOUs are not a requirement**

Supplier Flow-down – Generic Lessons Learned

- ✓ **If you have any additional lessons learned that you would like incorporated into this toolset, please contact Barry Bates at: BBates@NDIA.ORG with your request.**